# Semi-Thue Systems and Word Problems 

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May 10, 2012

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Introduction in Semi－Thue Systems

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## Summary

## Axel Thue (1863-1922)

- Norwegian mathematician
- studied long time in Germany, became professor in Norway
- worked mostly on Diophantine equations and number theory ( $\rightarrow$ Hilbert's $10^{\text {th }}$ problem)
- formulated the word problems, which later became subject of $\rightarrow$ Combinatory Logic

http://commons.wikimedia.org/wiki/File:Axel_Thue.jpg


## (Semi-)Thue Systems

- a semi-Thue system is a 2-tupel $T:=(\Sigma, S)$
- $\Sigma$ - alphabet
- $S \subseteq \Sigma^{*} \times \Sigma^{*}$ is a set of pairs of words (binary relations)

$$
S=\left\{\left(a_{1}, b_{1}\right),\left(a_{2}, b_{2}\right), \ldots\right\}
$$

called the rewriting rules

- let $u, v, x, y$ be words, s.t. $u, v, x, y \in \Sigma^{*}$ (note that the empty word $\epsilon$ may be part of $\Sigma^{*}$ )
- a string uxv may be rewritten

$$
u \times v \longrightarrow s u y v
$$

if and only if $(x, y) \in S$ ( $u x v$ denotes the concatenation of the words $u, x$ and $v$ )

- a word $y$ is called derivable from $x$ in $S$ or $(x \xrightarrow{*} s y)$ if there exists a sequence of words

$$
u \times v \longrightarrow s u w_{1} v \longrightarrow s \ldots \longrightarrow s u w_{n} v \longrightarrow s u y v
$$

- original Thue systems: pair $(x, y)$ meant $u x v \longleftrightarrow s$ uywiversiteit Utrecht


## Example

instance semi-Thue system $T=(\Sigma, S)$, with $\Sigma=\{a, b, c\}$, $S=\{(a, c),(a a, b)\}$
question Is the word $y=c b b b c$ derivable from the word $x=a b a a b c$ in $S(x \xrightarrow{*} s y)$ ?

- from $a b a a b c$ we can derive $a b b b c$ in a single step
- from $a b b b c$ we can derive $c b b b c$ in a single step
- therefore the word y is derivable from x


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## Semi-Thue Systems as a Model of Computation - Easy examples

- indications for semi-Thue systems performing computations
- successor/predecessor functions in unary representation

$$
\Sigma=\{1\}, \quad S=\{(\epsilon, 1),(1, \epsilon)\}
$$

- constant functions or identity in any representation

$$
\Sigma=\left\{\sigma_{1}, \ldots, \sigma_{n}\right\}, \quad S=\left\{\left(\sigma_{1}, \sigma_{1}\right), \ldots,\left(\sigma_{n}, \sigma_{n}\right)\right\}
$$

- recursion is possible through derivations
- Is it possible to show equivalence to other models of computation?


## Equivalence to Turing Machines

- semi-Thue systems can be shown to be isomorphic to unrestricted grammars
- unrestricted grammars: have additional terminal letters which may not be removed during derivation and always start with a symbol $S$
- Theorem: the languages of unrestricted grammars are the recursively enumerable languages
- sketch of proof for an unrestricted grammar $G$ to construct a Turing machine $M$

1. construct a two tape non-deterministic Turing machine $M$
2. tape 1 holds the input
3. tape 2 holds the state of the current derivation, starting with the starting symbol $S$
4. at each step, $M$ chooses nondeterministically a rule from $G$, applies it to tape 2
5. if the tapes are same, the input is accepted. if not, the machine chooses another rule to apply

- idea of proof for a Turing machine $M$ to construct an unrestricted grammar G

1. choose Turing machine to halt in empty state
2. construct $G$, s.t. it analyses the behavior of $M$ backwards

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## Word Problems

Given a semi-Thue system $T=(\Sigma, S)$
The accessibility problem
instance two arbitrary words

$$
v_{1}, v_{2} \in \Sigma^{*}
$$

question $v_{1} \xrightarrow{*} s v_{2}$ ?


The common descendant problem
instance two arbitrary words

$$
v_{1}, v_{2} \in \Sigma^{*}
$$

question Is there a $v \in \Sigma^{*}$, s.t.

$$
\begin{aligned}
& v_{1} \xrightarrow{*} s w \text { and } \\
& v_{2} \xrightarrow{*} s w ?
\end{aligned}
$$



The termination problem
instance an arbitrary word $v \in \Sigma^{*}$
question Is every derivation starting from $v$ possible to do in a finite number of steps?


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The termination problem - UNDECIDABLE
instance an arbitrary word $v \in \Sigma^{*}$ question Is every derivation starting from $v$ possible to do in a finite number of steps?


## The Accessibility Problem - Proof Sketch

Given a semi-Thue system $T=(\Sigma, S)$

## The accessibility problem

```
instance two arbitrary words
    v},\mp@subsup{v}{2}{}\in\mp@subsup{\Sigma}{}{*
question }\mp@subsup{v}{1}{}\xrightarrow{}{*}S\mp@subsup{v}{2}{}\mathrm{ ?
```



## Theorem

The accessibility problem is unsolvable over an arbitrary finite alphabet.

## Proof

following Z. Manna, first proof by E. Post (1947)

1. choose an alphabet $\Sigma$, binary relations $S$, an arbitrary word $x \in \Sigma^{*}$ and the word $y=\epsilon$
2. construct a Turing or Post machine which halts if and only if $x \xrightarrow{*} s y$
3. this means that the problem is reduced to the halting problem of Post/Turing machines
4. halting problem is unsolvable $\Rightarrow$ accessibility problem is unsolvable

## The Accessibility Problem is undecidable - Proof

- take $\Sigma=\left\{B_{0}, \ldots, B_{m}, \vdash, \dashv, a, b\right\}$
- out of these, construct a Post machine with $m$ test/assignment states $B_{1}, \ldots, B_{m}$ the starting state is $B_{0}$
- the Post machine is a machine of alphabet $\Sigma^{\prime}=\{a, b\}$
- the input word for the machine is supposed to be $w=\sigma_{1} \sigma_{2} \ldots \sigma_{n}$
- for the semi-Thue system take the words

$$
\begin{aligned}
& x=B_{0} \vdash \sigma_{1} \sigma_{2} \ldots \sigma_{n} \dashv \\
& y=\epsilon
\end{aligned}
$$

- $x$ is supposed to be the initial configuration of the machine, where the $B^{\prime}$ s can be interpreted as the "head"
- the current word $w^{\prime}$ of the machine is always in between $\vdash$ and $\dashv$
- next: constructing $S$ connected to the behavior of the Post machine


## The Accessibility Problem is undecidable - Proof

$S$ is made of the following rules

1. Start $\rightarrow\left(B_{0}, B_{1}\right)$
2. read first letter and statement transition

$$
\begin{aligned}
& \left(B_{i} \vdash a, B_{j} \vdash\right) \\
& \left(B_{i} \vdash b, B_{k} \vdash\right) \\
& \left(B_{i} \vdash-, \epsilon\right)
\end{aligned}
$$

$$
\begin{array}{|cc|}
\hline B_{6} \vdash a b a a b \dashv & B_{5} \vdash \dashv \\
\begin{array}{c}
\text { read and go } \\
\text { to next statement }
\end{array} & \text { or } \\
\begin{array}{c}
\downarrow \\
B_{9} \vdash b a a b \dashv
\end{array} & \begin{array}{c}
\text { read and halt / grammar } \\
\text { yields empty word }
\end{array} \\
\downarrow & \text { HALT } / \epsilon
\end{array}
$$

important! only possibility to halt: head reads the empty word between $\vdash$ and $\dashv$
3. add a letter in the end and state transition

$$
\begin{aligned}
& \left(\dashv B_{i}, \sigma^{\prime} \vdash B_{j}\right) \\
& \sigma^{\prime} \in\{a, b\}
\end{aligned} \quad \begin{array}{r}
\vdash b a b b \dashv B_{3} \\
\text { add and go } \\
\text { to next statement } \\
\vdash b a b b a \dashv B_{538}
\end{array}
$$

4. Cycling (thus enabling the head to move between the beginning and the end of the current word $w^{\prime}$ )

$$
\begin{aligned}
& \left(\sigma B_{i}, B_{i} \sigma\right) \\
& \left(B_{i} \sigma, \sigma B_{i}\right) \\
& \sigma \in\{\vdash, \dashv, a, b\}
\end{aligned}
$$

$$
\left(\begin{array}{l}
B_{3} \vdash a a b b a \dashv \\
\quad-\text { cycle } \rightarrow \\
\vdash a a b b a \dashv B_{3}
\end{array}\right) \text { iversiteit Utrecht }
$$

## The Accessibility Problem is undecidable - Proof Summed Up

- we have $\Sigma=\left\{B_{0}, \ldots, B_{m}, \vdash, \dashv, a, b\right\}$, parallel a Post machine with $\Sigma^{\prime}=\{a, b\}$
- we constructed $S$ and connected transitions for the machine
- we choose an arbitrary word $w \in \Sigma^{* *}$ and construct a word for the semi-Thue system

$$
\begin{aligned}
& x=B_{0} \vdash w \dashv \\
& y=\epsilon
\end{aligned}
$$

- every move of the rules in $S$ is connected to a certain move of the machine
- the only halt statement is given for the head to read $\epsilon$, yielding $y$
- thus, the machine halts if and only if $y$ is derivable from $x$

But it is undecidable to determine whether the machine halts or not.

Hence, the accessibility problem is undecidable.
Q.E.D.

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## We

- introduced semi-Thue systems as string rewriting systems
- indicated: semi-Thue systems are equivalent to model of Turing machines $\Leftrightarrow$ are a model of computation
- introduced three word problems - indicated that two are undecidable proved that the third is undecidable, too
- will probably hear more in: Lindenmayer systems, Combinatory Logic, Markov Algorithms, Correspondence Problem

