

Renormalized topological field theory and the Euler characteristic of $\text{Out}(F_n)$

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joint work with Karen Vogtmann

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Introduction I: Groups

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- **Outer automorphisms:** $\text{Out}(G) = \text{Aut}(G)/\text{Inn}(G)$

Automorphisms of the free group

- Consider the **free group** with n generators

$$F_n = \langle a_1, \dots, a_n \rangle$$

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- The group $\text{Out}(F_n)$ is our main object of interest.

Some properties of $\text{Out}(F_n)$

- Generated by

$$\begin{array}{ccccccc} a_1 \mapsto a_1 a_2 & a_2 \mapsto a_2 & a_3 \mapsto a_3 & \dots \\ \text{and } a_1 \mapsto a_1^{-1} & a_2 \mapsto a_2 & a_3 \mapsto a_3 & \dots \end{array}$$

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and permutations of the letters.

- The fundamental group of a **graph** is always a free group,

$$\text{Out}(F_n) = \text{Out}(\pi_1(\Gamma))$$

for a connected graph Γ with n independent cycles.

Mapping class group

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- The group of homeomorphisms of a closed, connected and orientable surface S_g of genus g up to isotopies

$$\text{MCG}(S_g) := \text{Out}(\pi_1(S_g))$$

Example: Mapping class group of the torus

$$\mathrm{MCG}(\mathbb{T}^2) = \mathrm{Out}(\pi_1(\mathbb{T}^2))$$

The group of homeomorphisms $\mathbb{T}^2 \rightarrow \mathbb{T}^2$ up to an isotopy:

Introduction II: Spaces

How to study such groups?

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Main idea

Realize G as symmetries of some geometric object.

Due to Stallings, Thurston, Gromov, ... (1970-)

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$\text{MCG}(S)$ acts on $T(S)$ by composing to the marking:

$$(X, \mu) \mapsto (X, \mu \circ g^{-1}) \text{ for some } g \in \text{MCG}(S).$$

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- \Rightarrow A point in Outer space \mathcal{O}_n is a pair, (G, μ)
- A connected graph G with a length assigned to each edge.
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$$(\Gamma, \mu) \mapsto (\Gamma, \mu \circ g^{-1}) \text{ for some } g \in \text{Out}(F_n) = \text{Out}(\pi_1(R_n)).$$

Put picture of Outer space here

Examples of applications of Outer space

- The group $\text{Out}(F_n)$
- Moduli spaces of punctured surfaces
- Tropical curves
- Invariants of symplectic manifolds
- Classical modular forms
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Scalar QFT \sim Integrals over $\mathcal{O}_n / \text{Out}(F_n)$

analogous to

2D Quantum gravity \sim Integral over $T(S) / \text{MCG}(S)$

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- Both can be used to study the respective groups.

Summary of the respective groups and spaces

	$\text{MCG}(S_g)$	$\text{Out}(F_n)$
acts freely and properly on	Teichmüller space $\mathcal{T}(S_g)$	Outer space \mathcal{O}_n
Quotient X/G	Moduli space of curves \mathcal{M}_g	Moduli space of graphs \mathcal{G}_n

Invariants

- $H_\bullet(\text{Out}(F_n); \mathbb{Q}) \simeq H_\bullet(\mathcal{O}_n / \text{Out}(F_n); \mathbb{Q}) = H_\bullet(\mathcal{G}_n; \mathbb{Q})$,
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- One simple invariant: Euler characteristic

Further motivation to look at Euler characteristic of $\text{Out}(F_n)$

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$$\chi(\text{Out}(F_n)) = \chi(\text{GL}(n, \mathbb{Z})) \chi(\mathcal{T}_n)$$

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$$\chi(\text{Out}(F_n)) = \underbrace{\chi(\text{GL}(n, \mathbb{Z}))}_{=0} \chi(\mathcal{T}_n)$$

$\Rightarrow \mathcal{T}_n$ does not have finitely-generated homology if $\chi(\text{Out}(F_n)) \neq 0$.

Conjectures

Conjecture Smillie-Vogtmann (1987)

$$\chi(\text{Out}(F_n)) \neq 0 \text{ for all } n \geq 2$$

and $|\chi(\text{Out}(F_n))|$ grows exponentially for $n \rightarrow \infty$.

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Theorem Bestvina, Bux, Margalit (2007)

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Results: $\chi(\text{Out}(F_n)) \neq 0$

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- Where does all this homology come from?

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- In this talk: Focus on proof of Theorem B

Analogy to the mapping class group

Harer-Zagier formula for $\chi(\text{MCG}(S_g))$

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 - Simplified proof by **Kontsevich (1992)** based on TFT's.
- ⇒ **Kontsevich's proof served as a blueprint for $\chi(\text{Out}(F_n))$.**

Sketch of Kontsevich's TFT proof of the Harer-Zagier formula

Step 1 of Kontsevich's proof

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Every point in $\mathcal{M}_{g,n}$ can be associated with a ribbon graph Γ such that

- Γ has n boundary components: $h_0(\partial\Gamma) = n$
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Used by [Penner \(1988\)](#) to calculate $\chi(\mathcal{M}_g)$ with Matrix models.

Step 4 of Kontsevich's proof

Kontsevich's simplification:

$$\sum_{g,n} \frac{\chi(\mathcal{M}_{g,n})}{n!} z^{2-2g-n}$$

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Evaluation is classic (Stirling/Euler-Maclaurin formulas)

$$= \sum_{k \geq 1} \frac{\zeta(-k)}{-k} z^{-k}$$

Last step of Kontsevich's proof

$$\sum_{\substack{g,n \\ 2-2g-n=k}} \frac{\chi(\mathcal{M}_{g,n})}{n!} = \frac{B_{k+1}}{k(k+1)}$$

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⇒ recover Harer-Zagier formula using the identity

$$\chi(\mathcal{M}_{g,n+1}) = (2 - 2g - n)\chi(\mathcal{M}_{g,n})$$

**Analogous proof strategy for
 $\chi(\text{Out}(F_n))$ using renormalized TFTs**

Step 1

Generalize from $\text{Out}(F_n)$ to $A_{n,s}$ and from \mathcal{O}_n to $\mathcal{O}_{n,s}$, Outer space of graphs of rank n and s legs.

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Forgetting a leg gives the short exact sequence of groups

$$1 \rightarrow F_n \rightarrow A_{n,s} \rightarrow A_{n,s-1} \rightarrow 1$$

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Renormalized TFT interpretation [MB-Vogtmann \(2019\)](#):

$$\chi(A_{n,s}) = \sum_{\substack{\text{graphs } G \\ \text{with } s \text{ legs} \\ \text{rank}(\pi_1(G))=n}} \frac{1}{|\text{Aut } G|} \sum_{\text{forests } f \subset G} (-1)^{|E_f|}$$

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The group invariants $\chi(A_{n,s})$ are encoded in [a renormalized TFT](#).

Let

$$T(z, x) = \sum_{n, s \geq 0} \chi(A_{n, s}) z^{1-n} \frac{x^s}{s!}$$

TFT evaluation

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This gives the **implicit** result in Theorem B.

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- Can renormalized TFT arguments also be used for other groups? For instance RAAGs.